

TIGE - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2021

October 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non - Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non - Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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October 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non - Marketable Limit Orders(USD)	Net Payment Paid/Received for Non - Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC	100.00	0.00	0.00	0.00	100.00	0.0000	20.0000	0.0000	20.0000	0.0000	20.0000	2.4000	20.0000

Material Aspects:

CITADEL SECURITIES LLC

2021Q4: US Tiger Securities receives payment from Citadel Securities LLC for directing order flow: If the stock price is equal to or greater than \$1.00 per share, US Tiger Securities receives \$0.0020 per share and \$0.35 per contract for options orders; if the stock price is less than \$1.00 per share, US Tiger Securities does not receive any such payment. These payments were not contingent upon volume-based tiered payment schedules, agreements regarding minimum order flow, incentives for exceeding order flow thresholds or disincentives for failing to meet order flow thresholds. Note that our arrangement with Citadel Securities does not include any increase or decrease in payment for order flow based on the amount of orders sent to them for execution. In addition, the level of execution quality is not affected based on the quantity of orders sent to them for execution.

October 2021

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

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November 2021

S&P 500 Stocks

Summary

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100.00	0.00	0.00	0.00	100.00

Venues

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Citadel Securities LLC	100.00	0.00	0.00	0.00	100.00	0.0000	20.0000	0.0000	20.0000	0.0000	20.0000	0.8000	20.0000

Material Aspects:

CITADEL SECURITIES LLC

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November 2021

Non-S&P 500 Stocks

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November 2021

Option Contracts

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December 2021

S&P 500 Stocks

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December 2021

Non-S&P 500 Stocks

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December 2021

Option Contracts

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